Under the Paperwork Reduction Act of 1995, no persons are required to

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)

	Application Number		09976167
Filing Date			2001-10-21
First Named Inventor Freder		Frede	nick Paul Benning
	Art Unit		1765
	Examiner Name	Sham	ilm Ahmed
	Attorney Docket Number		ROC920010111US1

					U.S.	PATENTS			Remove		
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue C	ate	Name of Pat of cited Docu	entee or Applicant ument	Relev		Lines where	
	1	5707948	1998-01-13		Evers et al.						
	2	6140300		2000-10	1-31	Sirianni					
	3	6268979	B1	2001-07	-31	Kuroda					
If you wisl	h to a	dd additional U.S. Pater	t citatio	n inform	ation pl	ease click the	Add button.	_	Add		
			U.S.P	ATENT	APPLI	CATION PUB	LICATIONS		Remove		
Examiner Initial*			Publica Date	ition	Name of Pat of cited Docu	entee or Applicant ument	Relev		Lines where		
	1	20030092362	A1	2003-05	i-15	McCaffrey et a	al.				
If you wisl	h to a	dd additional U.S. Publi	shed Ap	plication	citation	n information p	please click the Ad	d butto	n. Add		
				FOREIG	SN PAT	ENT DOCUM	IENTS		Remove		
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>			Kind Code4	Publication Date Name of Patented Applicant of cited Document		e or	where Rel	or Relevan	Te

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		09976167
Filing Date		2001-10-21
First Named Inventor Frede		rick Paul Benning
Art Unit		1765
Examiner Name Sham		im Ahmed
Attorney Docket Number		ROC920010111US1

If you wisl	h to a	dd add	ditional Foreign Patent Document citation information please click the Add button	Add				
			NON-PATENT LITERATURE DOCUMENTS	Remove				
Examiner Initials*	Examiner Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							
	1							
If you wisl	h to a	dd add	ditional non-patent literature document citation information please click the Add b	utton Add				
			EXAMINER SIGNATURE					
Examiner	Examiner Signature Date Considered							
			reference considered, whether or not citation is in conformance with MPEP 609, rmance and not considered. Include copy of this form with next communication to					

I See Kind Code of USPTO Planto Pocuments at year, USPTO\_GOLY or MEPP 90.04. Finite office in all isseed the document, by the he-letter code (WIPO Standard ST.3). Five Japanese painted connects, the includion to the year of the enjoy of the Empore year proceeds the setal number of the paper of the party of the paper of the enjoy of the enjoy of the paper of the enjoy of the enjoy

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number		09976167
Filing Date		2001-10-21
First Named Inventor Frede		rick Paul Benning
Art Unit		1765
Examiner Name Sharr		im Ahmed
Attorney Docket Number		ROC920010111US1

#### CERTIFICATION STATEMENT

Please see 37	CFR 1	.97 and	1.98 to make the	appropriate	selection(	s)	
---------------	-------	---------	------------------	-------------	------------	----	--

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1,59(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1,579(c).

- See attached certification statement.
- Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

James P. Nock

\_ ...

Name/Print

#### SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Registration Number

42937

Signature	/james r nock/	Date (YYYY-MM-DD)	2006-12-18							

This collection of information is required by 3T CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is for lie fand by the USPTO to process) an application. Confidentiality is governed by \$5 U.S. C. 12 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application from to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Operatment of Commence, P. O. Box 1450, Alexandri, V.S. 2213-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, V.S. 2213-1450.

### Privacy Act Statement

The Privacy Act of 1974 (P. L. 95-79) requires that you be given centain information in connection with your submission of the stacked form related to a penter application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is SU S. C. (2b)(2); (2) furnishing of the information solicided to is coluntary, and (3) the principal purpose for which the information is used by the U.S. Patent and Trademan XOTIEs is to process and/or examine your submission related to a patent agricultant or patent. If you do not furnish the requested process and/or examine your submission related to a patent agricultant or patent. If you do not furnish the requested requirement of the patent of the

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
  - A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing coursel in the course of settlement negotiations.
  - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the suited matter of the record
  - A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S. C. 552(m).
  - A record related to an International Application filed under the Patent Cooperation Treaty in this system of records
    may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
    to the Patent Cooperation Treaty.
  - A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
  - 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or hisher designed, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 4 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals or part individuals.
- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the
  application pursuant to 35 U.S. C. 151. Further, a record may be
  disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the accord was filed in application
  which became abandoned or in which the proceedings were terminated and which application is referenced by either a
  published application, an application peen to public inspections or an issued patent.
  - A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.